

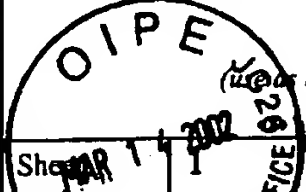
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <div style="border: 1px solid black; border-radius: 50%; width: 100px; height: 100px; display: flex; align-items: center; justify-content: center; margin: 10px auto;"> <div style="text-align: center;">  </div> </div> <div style="text-align: center; margin-top: 5px;"> <i>(use as many sheets as necessary)</i> </div>		Complete if Known <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:30%;">Application Number</td> <td>09/833,407</td> </tr> <tr> <td>Filing Date</td> <td>April 11, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Luchoomun, <i>et al.</i></td> </tr> <tr> <td>Group Art Unit</td> <td>1614</td> </tr> <tr> <td>Examiner Name</td> <td>N/A</td> </tr> <tr> <td>Attorney Docket Number</td> <td>04676.105059</td> </tr> </table>		Application Number	09/833,407	Filing Date	April 11, 2001	First Named Inventor	Luchoomun, <i>et al.</i>	Group Art Unit	1614	Examiner Name	N/A	Attorney Docket Number	04676.105059
Application Number	09/833,407														
Filing Date	April 11, 2001														
First Named Inventor	Luchoomun, <i>et al.</i>														
Group Art Unit	1614														
Examiner Name	N/A														
Attorney Docket Number	04676.105059														
Sheet 1 of 1															

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			

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FOREIGN PATENT DOCUMENTS							
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		Office ³	Number Kind	Code ² (if known)			
<i>[Signature]</i>		WO	US 96/08239	A	21-03-1996	PCT	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶

Examiner Signature	<i>[Signature]</i>	Date Considered	01/04
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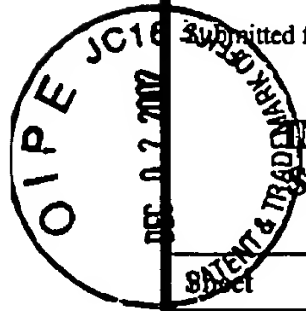
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Sheet

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Application Number	09/833,407
Filing Date	04/11/01
First Named Inventor	Luchoomun, et al
Group Art Unit	1614
Attorney Docket Number	04676.105059

U.S. PATENT DOCUMENTS

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		Number (if known)	Kind Code			
	AA	U.S.S.N. 09/370,046		Russell M. Medford, et al	08/06/99	
	AB	U.S.S.N. 09/436,892 (Corrected Amendment and Response to Office Action dated 08/17/01)		Medford, et al	11/09/99	
	AC	U.S.S.N. 09/570,098 (Amendment and Response to Office Action dated 05/12/00)		Patricia K. Somers	05/12/00	
	AD	U.S.S.N. 09/779,086 (Substitute Specification and Drawings filed 06/18/01)		Chinery, et al	02/07/01	
	AE	U.S.S.N. 09/815,262		Charles Q. Meng, et al	03/21/01	

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Group Art Unit	1614
Attorney Docket Number	04676.105059

Sheet 2 of 3

BA	U.S.S.N. 10/060,734 (Preliminary Amendment Filed 01/30/02)		Patricia K. Somers	01/30/02	
BB	U.S.S.N. 10/036,307		Patricia K. Somers, et al	10/25/01	
BC	U.S.S.N. 10,114,346 (Preliminary Amendment Filed 04/02/02)		Charles Q. Meng	04/02/02	
BD	U.S.S.N. 10/114,351 (Preliminary Amendment Filed 04/02/02)		Charles Q. Meng	04/02/02	
BE	U.S.S.N. 10/115,206 (Preliminary Amendment Filed 04/02/02)		Charles Q. Meng	04/02/02	
BF	U.S.S.N. 10/122,516		Luchoomun, et al	04/11/02	
BG	5,294,724		Jendralla, et al	03/15/94	
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BI	6,147,250		Patricia K. Somers	5/14/98	
BJ	6,323,359		Jass	11/27/01	
BK	6,448,019	B1	Mendelsohn et al.	10/1/99	

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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		Meng et al.; Novel Phenolic Antioxidants as Multifunctional Inhibitors of Inducible VCAM-1 Expression for Use in Atherosclerosis," <i>Bioorganic & Medicinal Chemistry Letters</i> ; (2002); pp. 2545 – 2548	
		Rinninger et al.; "Probucol Enhances Selective Uptake of HDL-Associated Cholesteryl Esters In Vitro by a Scavenger Receptor B-I-Dependent Mechanism," <i>Artheroscler Thromb Vasc Biol.</i> ; (1999); Vol. 19; pp. 1325 - 1332	
		Sawayama, et al.; "Effects of Probucol and Pravastatin on Common Carotid Atherosclerosis in Patients with Asymptomatic Hypercholesterolemia," <i>Journal of the American College of Cardiology</i> ; Vol 39; No. 4; (2002); pp. 610 - 616	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT JAN 15 2002 (use as many sheets as necessary) Sheet <u>1</u> of <u>3</u>				Application Number	09/833,407
				Filing Date	April 11, 2001
				First Named Inventor	Luchoomun <i>et al.</i>
				Group Art Unit	1614
				Examiner Name	Not Assigned
				Attorney Docket Number	ATH113US (04676.105059)

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pgs, Cols, Lns, Where Relevant Passages/Figures Appear
		Number	Kind Code (if known)			
<i>[initials]</i>	AA	4,076,841		Wagner <i>et al.</i>	02/28/1978	
<i>[initials]</i>	AB	5,262,439		Parthasarathy	11/16/1993	
<i>[initials]</i>	AC	5,627,205		Regnier <i>et al.</i>	05/06/1997	
<i>[initials]</i>	AD	5,770,355		Brocia <i>et al.</i>	06/23/1998	

FOREIGN PATENT DOCUMENTS							
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<i>[initials]</i>	AE	WO	95/30415	A1	16.11.1995	PCT-US	
<i>[initials]</i>	AF	WO	97/26258	A1	24.07.1997	PCT-EPO	
<i>[initials]</i>	AG	WO	98/30255	A2	16.17.1998	PCT-US	
<i>[initials]</i>	AH	WO	98/51289	A2	19.11.1998	PCT-US	
<i>[initials]</i>	AI	WO	98/51662	A2	19.11.1998	PCT-US	
<i>[initials]</i>	AJ	WO	99/24400	A1	20.05.1909	PCT-US	
<i>[initials]</i>	AK	WO	00/26184	A1	11.05.2000	PCT-Germany	
<i>[initials]</i>	AL	WO	00/28332	A1	18.05.2000	PCT-US	
<i>[initials]</i>	AM	EPO	212 310	A2	04.03.1987	EPO-Germany	
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<i>[initials]</i>	AO	EPO	292 660	A2	30.11.1988	EPO	
<i>[initials]</i>	AP	EPO	348 203	A1	27.12.1989	Great Britain	
<i>[initials]</i>	AQ	EPO	418 648	A1	27.03.1991	EPO-Germany	
<i>[initials]</i>	AR	FR	2.130.975		10.11.1972	France	

Examiner Signature	<i>[Signature]</i>	Date Considered	01/04
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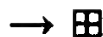
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Application Number	09/815,262 833407
Filing Date	March 11, 2001
First Named Inventor	Meng et al.
Group Art Unit	1614
Examiner Name	Not Assigned
Attorney Docket Number	04676.105055

FOREIGN PATENT DOCUMENTS (CONTINUED)

BA	FR	2.133.024	24.11.1972	France	
BB	FR	2.134.810	08.12.1972	France	
BC	FR	2.140.769	19.01.1973	France	
BD	FR	2.168.137	31.08.1973	France(Eng. Trans.)	✓

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
BE		CHEMICAL ABSTRACT, DATABASE CHEMABS 'Online!', CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US, STN, Accession No. 127:75973, XP002115597, see abstract; RN 1706-68-9 & V.Z. LANKIN ET AL.: DOKL. AKAD. NAUK, V. 351, No. 4, 1996, pgs. 554-557.	
BF		CHEMICAL ABSTRACT, DATABASE CHEMABS 'Online!', CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US, STN, CAPLUS Accession No. 1970:445047, XP002124423, see abstract; RN 27428-10-0, 27428-13-3, 27428-14-4, 27428-15-5, 27428-16-6, 27428-17-7, 27428- 18-8, 27428-19-9 & M. B. NEUWORTH ET AL.: J. MED. CHEM., V. 13, No. 4, 1970, pp. 722-725.	
BG		CHEMICAL ABSTRACT, DATABASE CHEMABS 'Online!', CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US, STN, CAPLUS Accession No. 1986:28675, XP002124424, see abstract; RN 99661-86-6, 99661-87-7, 27466-44-0 & CHEMICAL ABSTRACTS, Vol. 104, No. 5, 3 February 1986 (1986-02-03), Columbus, Ohio, US; Abstract No. 28675, abstract & P. DE MEGLIO ET AL.: FARMACO, ED. SCI., Vol. 40, No. 11, 1985, pages 833-844.	✓
BH		COMINACINI et al., "Antioxidants Inhibit the Expression of Intercellular Cell Adhesion molecule-1 and Vascular Cell Adhesion Molecule-1 Induced by Oxidized LDL on Human Umbilical Vein Endothelial Cells," <u>Free Radical Biology & Medicine</u> , Vol. 22, Nos. 1/2, pp. 117-127, 1997.	
BI		FELDMAN, Davis, et al., "The In Vitro and Ex Vivo Antioxidant Properties, and Hypolipidemic Activity of CGP 2881," <u>Atherosclerosis</u> , 28 December 1998, Vol. 144, pp. 343-355.	
BJ		FRUEBIS, Joachim, "A Comparison of the Antiatherogenic Effects of Probucol and of a Structural Analogue of Probucol in Low Density Lipoprotein Receptor-deficient Rabbits," <u>The American Society for clinical Investigation, Inc.</u> , July 1994, Vol. 94, pp. 392-398.	

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Signature

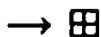
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Attorney Docket Number	04676.105055

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS (CONTINUED)

CA	MAO et al., "Antioxidant Activity of Probucol and Its Analogues in Hypercholesterolemic Watanabe Rabbits," <u>Journal of Medicinal Chemistry</u> , January 1991, Vol. 34, No. 1, pp. 298-302.
CB	MAO et al. "Attenuation of Atherosclerosis in a Modified Strain of Hypercholesterolemic Watanabe Rabbits with Use of a Probucol Analogue (MDL29,311) That Does Not Lower Serum Cholesterol," <u>Arteriosclerosis and Thrombosis</u> , September/October 1991, Vol. 11, No. 5, pp. 1266-1275.
CC	RAMASAMY, Santhini et al., "Modulation of Expression of Endothelial Nitric Oxide Synthase by Nordihydroguaiaretic Acid, a Phenolic Antioxidant in Cultured Endothelial Cells," <u>Molecular Pharmacology</u> , 5 April 1999, Vol. 56, pp. 116-123.

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